

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

3830-002-27

First Inventor or Application Identifier

Douglas A. BEIGEL

Title

ONLINE SYSTEM AND METHOD FOR ASSESSING/CERTIFYING
COMPETENCIES AND COMPLIANCE

22388 U.S. PTO
10/665511

09/22/03

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
☒ Applicant claims small entity status.
2. ☒ Specification Total Pages **34**
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **13**
4. ☐ Oath or Declaration Total Pages
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 16 completed)
5. ☐ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
PO Box 1450
Alexandria, VA 22313-1450

ACCOMPANYING DOCUMENTS

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
13. ☐ Request for Priority
14. ☒ List of Inventors' Names and Addresses
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

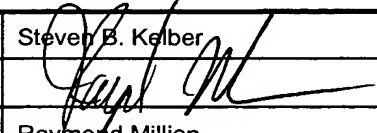
17. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on

☒ This application claims priority of provisional application Serial No. 60/411,865, Filed September 20, 2002.

18. CORRESPONDENCE ADDRESS

Supervisor, Patent Prosecution Services
PIPER RUDNICK LLP
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Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	9/22/03
Name	Raymond Millien	Registration No.	43,806

09/22/03

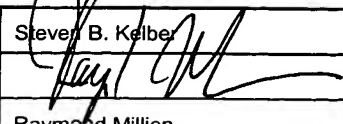
13408 U.S. PTO

FEE TRANSMITTAL

Docket No.	3830-002-27
Serial No.	New Application
Filing Date	Herewith
Inventor(s)	Douglas A. BEIGEL
Group Art Unit	
Examiner	

TOTAL AMOUNT OF PAYMENT	\$476.00
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1. <input checked="" type="checkbox"/> Applicant claims small entity status. <input type="checkbox"/> Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.										FEE CALCULATION (continued)								
2. <input checked="" type="checkbox"/> Check enclosed.										3. ADDITIONAL FEES								
										Large Entity		Small Entity		Fee Description				
FEE CALCULATION										Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid			
1. BASIC FILING FEE										1051	130	2051	65	Surcharge-late filing fee or oath	65.00			
Large Entity										1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet				
Small Entity										1053	130	1053	130	Non-English specification				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid		1812	2520	1812	2520	Ex parte reexam. fee						
1001	750	2001	375	Utility filing fee		375.00		1251	110	2251	55	1-mo. ext. of time						
1002	330	2002	165	Design filing fee				1252	410	2252	205	2-mo. ext. of time						
1003	520	2003	260	Plant filing fee				1253	930	2253	465	3-mo. ext. of time						
1004	750	2004	375	Reissue filing fee				1254	1450	2254	725	4-mo. ext. of time						
1005	160	2005	80	Provisional filing fee				1255	1970	2255	985	5-mo. ext. of time						
SUBTOTAL (1)										\$375.00		1401	320	2401	160	Notice of Appeal		
2. EXTRA CLAIM FEES										1402	320	2402	160	Appeal Brief				
tot. claims	24	-	20*	=	4	x	\$9	=	36	1403	280	2403	140	Request for Oral Hearing				
ind. claims	3	-	3*	=	0	x	\$42	=	0	1501	1300	2501	650	Utility/Reissue Issue Fee				
<input type="checkbox"/> Multiple Dependent Claims										\$140	=		1502	470	2502	235	Design Issue Fee	
Large Entity										1503	630	2503	315	Plant Issue Fee				
Small Entity										1460	130	1460	130	Petitions to the Commissioner				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid		1806	180	1806	180	iDS Submission						
1202	18	2202	9	Claims in excess of 20				8021	40	8021	40	Assignment						
1201	84	2201	42	Independent claims in excess of 3				1801	750	2801	375	For Filing RCE						
1203	280	2203	140	Multiple dependent claim, if not paid				1802	900	1802	900	Expedited Design						
1204	84	2204	42	*Reissue independent claims over original patent				OTHER (indicate below):										
1205	18	2205	9	*Reissue claims in excess of 20 and over original patent														
SUBTOTAL (2)										\$36.00								
* or number previously paid, if greater; For Reissues, see above										SUBTOTAL (3)				\$65.00				

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	9/22/03
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		Telephone	202-861-3900

DOCKET NO. 3830-002-27

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Douglas A. BEIGEL

ART UNIT:

SERIAL NO.: New Application

EXAMINER:

FILING DATE: Herewith

FOR: ONLINE SYSTEM AND METHOD FOR ASSESSING/CERTIFYING
COMPETENCIES AND COMPLIANCE

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA, VA 22313-1450

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

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West Friendship, MD 21794

A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

PIPER RUDNICK LLP



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